

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FII	LING DATE	FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/977,684	1	0/16/2001	Dong-Gyu Kim			3843
32605	7590	12/01/2006			EXAM	INER
MACPHERSON KWOK CHEN & HEID LLP 2033 GATEWAY PLACE					NGUYEN, DUNG T	
SUITE 400		.02			ART UNIT	PAPER NUMBER
SAN JOSE,	CA 9511	0			2871	

DATE MAILED: 12/01/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

		1)
	Application No.	Applicant(s)
	09/977,684	KIM, DONG-GYU
Office Action Summary	Examiner	Art Unit
	Dung Nguyen	2871
The MAILING DATE of this communica	tion appears on the cover sheet w	ith the correspondence address
Period for Reply	DEDLY IS SET TO EXPIDE AN	MONTU(S) OF TUETY (20) DAVS
A SHORTENED STATUTORY PERIOD FOR WHICHEVER IS LONGER, FROM THE MAII  - Extensions of time may be available under the provisions of 3 after SIX (6) MONTHS from the mailing date of this communic  - If NO period for reply is specified above, the maximum statuto  - Failure to reply within the set or extended period for reply will, Any reply received by the Office later than three months after earned patent term adjustment. See 37 CFR 1.704(b).	LING DATE OF THIS COMMUNI 7 CFR 1.136(a). In no event, however, may a sation.  by period will apply and will expire SIX (6) MON  by statute, cause the application to become A	CATION. reply be timely filed  NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).
Status		• •
1)⊠ Responsive to communication(s) filed of	on <u>31 August 2006</u> .	
2a)⊠ This action is <b>FINAL</b> . 2b)	☐ This action is non-final.	
3) Since this application is in condition for	allowance except for formal mat	ters, prosecution as to the merits is
closed in accordance with the practice	under <i>Ex parte Quayle</i> , 1935 C.D	D. 11, 453 O.G. 213.
Disposition of Claims		
4)⊠ Claim(s) <u>1-25</u> is/are pending in the app	lication.	
4a) Of the above claim(s) <u>1-5 and 8-19</u>		ion.
5) Claim(s) is/are allowed.		
6)⊠ Claim(s) <u>6-7,20-25</u> is/are rejected.		
7) Claim(s) is/are objected to.		•
8) Claim(s) are subject to restriction	n and/or election requirement.	
Application Papers		
9) The specification is objected to by the E	xaminer.	
10) The drawing(s) filed on is/are: a	accepted or b) objected to	by the Examiner.
Applicant may not request that any objectio	n to the drawing(s) be held in abeya	nce. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the	e correction is required if the drawing	g(s) is objected to. See 37 CFR 1.121(d).
11) The oath or declaration is objected to by	the Examiner. Note the attache	d Office Action or form PTO-152.
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for	foreign priority under 35 U.S.C.	\$ 119(a)-(d) or (f)
a) ☐ All b) ☐ Some * c) ☐ None of:	Torong price p an acce of order	
1. Certified copies of the priority do	cuments have been received.	
2. Certified copies of the priority do	cuments have been received in A	Application No
<ol><li>Copies of the certified copies of t</li></ol>	he priority documents have beer	received in this National Stage
application from the International	Bureau (PCT Rule 17.2(a)).	
* See the attached detailed Office action for	or a list of the certified copies not	received.
		•
Attachment(s)		
1) Notice of References Cited (PTO-892)		Summary (PTO-413)
<ol> <li>Notice of Draftsperson's Patent Drawing Review (PTO:</li> <li>Information Disclosure Statement(s) (PTO/SB/08)</li> </ol>		s)/Mail Date Informal Patent Application
Paper No(s)/Mail Date	6)  Other:	

Art Unit: 2871

#### **DETAILED ACTION**

Applicant's response dated 08/31/2006 has been received and entered. Claims 6-7 and 20-25 are remain pending in the application. Claims 1-5 and 8-19 stand withdrawn from consideration.

## Claim Rejections - 35 USC § 103

- 1. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
- 2. Claim 6 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Matsuyama et al., US Patent No. 5633,739, in view of Takao et al., US Patent No. 5,568,293.

Regarding claim 6, Matsuyama disclose a method for fabricating a liquid crystal display (LCD)(figure 11a-11e) comprising the step of:

- . forming a black matrix (BM) on a substrate (SUB2);
- . forming a plurality of color filters (FIL(B/R/G)), each the color filter has a flat central portion and a peripheral portion.

Matsuyama et al, however, do not disclose the peripheral portions of the neighboring color filter overlap each other and having a taper angle less than 40 degrees. Takao et al. do disclose a color filter (B/G/R) can be overlapped to each other with a taper angle less than 40 degrees (respect to the normal line). Therefore, it would have been obvious to one skilled in the art at the time of the invention was made to modify the Matsuyama et al. color filter having at least a part of the peripheral portion overlapping to each other with a taper angle less than 40 degrees as shown by Takao et al. in order to reduce alignment effect (col. 2, ln 9-13).

Art Unit: 2871

3. Claim 7 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Matsuyama et al., US Patent No. 5633,739, in view of Takao et al., US Patent No. 5,568,293, further in view of Nakamura et al., US Patent No. 5,725,975.

Regarding claim 7, the modification to Matsuyama et al. disclose the claimed invention as stated above except for using a mask to pattern the color filter. Nakamura et al. do disclose a mask having three different regions as claimed for forming a color filter (figure 5B, col. 6). Therefore, it would have been obvious to one skilled in the art at the time of the invention was made to use a mask having three different regions making the Matsuyama et al color filter as shown by Nakamura et al. in order to obtain a high accuracy and efficiency at a low cost (col. 2, ln 24-29).

4. Claims 20-25 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Matsuyama et al., US Patent No. 5633,739, in view of Takao et al., US Patent No. 5,568,293, further in view of Kim, US Patent No. 6,567,150.

Regarding the above claims, the modification to Matsuyama et al. disclose the claimed invention as stated above except for the step of forming a plurality of gate/data lines, a thin film transistor (TFT). Kim does disclose the step of forming a plurality of gate lines, a plurality of data lines as well as a TFT (bridging paragraph from col. 1 to col. 2) Therefore, it would have been obvious to one skilled in the art at the time of the invention was made to employ the Matsuyama et al. device a plurality of gate/data lines and a TFT as shown by Kim for display driving purposes.

Art Unit: 2871

## Response to Arguments

5. Applicant's arguments filed 08/31/2006 have been fully considered but they are not persuasive.

Regarding claim 6, Applicant contends that the modification to Matsuyama (I view of Takao) would destroy the intent, purpose and function of the invention disclosed in both Matsuyama and Takao since both Matsuyama and Takao teach away from such a modification. The Examiner respectfully disagrees with Applicant's viewpoint. In particular, as asserted by Applicant, Matsuyama teaches a protective area for protecting against dye diffusion filling the gaps between the color patterns and Takao discloses an overlap of the peripheral portions of adjacent color filters; therefore, the modification to Matsuyama would result a small gap of color filter therebetween (i.e. against dye diffusion). In addition, the modification would not remove all Matsuyama structures (e.g., protective overcoat layer, black matrix), and the modification is just applying a method of forming an overlapped color filter to the Matsuyama color filter to reduce alignment effect during manufacturing, so as the such color filter would be overlapped over the black matrix part. In other words, such combination of Matsuyama et al. and Takao et al. would take an advantage of aligning color filter into the Matsuyama color filter without destroy the Matsuyama et al. device.

In brief, Takao et al. do not teach away from the Matsuyama et al. invention; one of ordinary skilled in the art would be able to modify the Matsuyama et al. color filter as shown by Takao et al. as stated above. Therefore, such modification to Matsuyama et al device would have been obvious to one skilled in the art.

Art Unit: 2871

#### Conclusion

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dung Nguyen whose telephone number is 571-272-2297. The examiner can normally be reached on Tuesday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Nelms can be reached on 571-272-1782. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2871

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

DN 11/27/2006 Dung Nguyen
Primary Examiner
Art Unit 2871